

Warsaw February 29,2012 Nuclear Physics results that worry me Kirby Kemper Florida State University

Map of Florida



Florida State University in 1851-First as a seminary for men then in 1904 as a women's college and then in 1947 became a co-ed university.

Today has 39,000 students.





Accelerator Laboratory at Florida State









- In-flight production of radioactive beams in inverse kinematics
- Combination of Superconducting RF-Resonator with high acceptance magnetic Spectrograph to create mass spectrometer for E~5 MeV/u secondary beams

What is this K business with cyclotron and magnetic spectrometers?

E(MeV/amu)=K(q/A)² where q is the charge of the accelerated ion and A its mass

Here K is 120-160

Caution- knowledge of nuclear structure and description of reactions that are used to extract the structure information are not independent

Caution-We work in the world of strong interactions and many body systems

Today I will talk about the spin forces in nuclear structure and reactions

In 1932 deuterium or ²H was isolated and this then explained why the masses of the elements are not integers.

For example: the atomic mass of Li is 6.941 with 92.4% ⁷Li and 7.6% ⁶Li

It was noticed immediately that there were 10 stable Sn isotopes with proton number 50 and neutron numbers from 62 to 74. Also was soon discovered that ²⁰⁸Pb with 82 protons and 126 neutrons was very stable, as no decay was found from it.

There are elements that are chemically very stable with electron numbers 2.He,10,Ne,18,Ar,36,Kr,54,Xe,86,Rn These are known as noble gases

Nuclear shell structure would be $(s_{1/2})^2$, $(p_{3/2}, p_{1/2})^8$ $(d_{5/2}, d_{3/2}, s_{1/2})^{20}$, $(f_{7/2}, f_{5/2}, p_{3/2}, p_{1/2})^{40}$ $(g_{9/2}, g_{7/2}, d_{5/2}, d_{3/2}, s_{1/2})^{70}$ $(h_{11/2}, h_{9/2}, f_{7/2}, f_{5/2}, p_{3/2}, p_{1/2})^{112}$ $(i_{13/2}, i_{11/2}, g_{9/2} \text{ etc})^{168}$

It was known that the fine structure in atoms comes from the spin-orbit interaction but because the mass of the electron is in the denominator, a similar nuclear force

would be 2000 times weaker and so not important

Based on number of stable isotopes It was proposed that nuclei with proton or neutron numbers of 2,8,20,28,50,82,126 would be very stable and were called magic numbers

occur because nuclei have a large spin-orbit force of opposite sign from atoms





LETTERS TO THE EDITOR

Total Reflection of Neutrons on Cobalt

TABLE I. Classification of nuclear states.

MORTON HAMERMESH Argonne National Laboratory, Chicago, Illinois April 13, 1949

TTENTION has recently been called to the possibility A TTEN HON has recently been dance to the production from from of producing polarized neutron beams by reflection differ for magnetized iron mirrors.1 The indices of refraction differ for the two neutron spin states, since their magnetic scattering amplitudes are opposite in sign. The resultant difference in critical angle of total reflection can be used to separate the spin components.

For Fe, the coherent nuclear amplitude exceeds the magnetic amplitude, so that the index of refraction is less than one for both spin states, and both are capable of total reflection. Since the critical angle is proportional to neutron wavelength, two wave-lengths (one for each spin state) will overlap. This circumstance prevents attainment of complete polarization, since intensity requirements dictate the use of a fairly broad band of neutron energies.

It is interesting to note that by reflecting neutrons from a cobalt mirror magnetized along the beam direction one can obtain an exact analog of the Nicol prism. The coherent scattering cross section of Co is ~1.8 barns² compared to 10.3 barns for Fe. At the same time, the magnetic amplitude for Co is $\sim 4.6 \times 10^{-13}$ cm, which is only slightly below the value 6.0×10^{-13} for Fe, so that for Co the magnetic amplitude exceeds the nuclear amplitude. Consequently, the refractive indices for the two spin states lie on opposite sides of unity for all wave-lengths, and only one of the spin components is capable of undergoing total reflection. With an arbitrarily broad spectrum of incident neutrons, the mirror will reflect a completely polarized beam.

D. J. Hughes and his associates are now conducting reflection experiments with Fe and Co.

¹ O. Halpern, Phys. Rev. **75**, **343** (1949). ² C. G. Shull and E. O. Wollan, unpublished.

On the "Magic Numbers" in Nuclear Structure

OTTO HAXEL Max Planck Institut, Göllingen J. HANS D. JENSEN Institut f. theor. Physik, Heidelberg AND HANS E. SUESS Inst. f. phys. Chemie, Hamburg

SIMPLE explanation of the "magic numbers" 14, 28, A 50, 82, 126 follows at once from the oscillator model of the nucleus,1 if one assumes that the spin-orbit coupling in the Yukawa field theory of nuclear forces leads to a strong splitting of a term with angular momentum l into two distinct terms $i = l \pm \frac{1}{2}$.

April 18, 1949

If, as a first approximation, one describes the field potential of the nucleons already present, acting on the last one added, as that due to an isotropic oscillator, then the energy levels are characterized by a single quantum number $r = r_1 + r_2 + r_3$, where r_1 , r_2 , r_3 are the quantum numbers of the oscillator in 3 orthogonal directions. Table I, column 2 shows the multiplicity of a term with a given value of r, column 3 the sum of all multiplicities up to and including r. Isotropic anharmonicity of the potential field leads to a splitting of each r-term according to the orbital angular momenta l (l even when r is odd, and vice versa), as in Table I, column 4. Finally, spin-orbit coupling leads to the *l*-term splitting into $j = l \pm \frac{1}{2}$, columns 5 and 6, whose multiplicities are listed in column 7.

The "magic numbers" (column 8) follow at once on the assumption of a particularly marked splitting of the term with the highest angular momentum, resulting in a "closed shell

1	2	3	4	5	6	7	8
Oscil- lator-							
quan- tum num-		Sum of all	Orbital momen-	Total angular momen-			Magic
ber	Multi-	multi-	tum	tum		Multi-	num-
r	plicity	plicities	ı	j	l _i -symbol	plicities	bers
1	2	2	0	1/2	\$1/2	2	
2			1	3/2	\$3/2	4	
	6	8		1/2	D1/2	2	
3			2	5/2	d6/2	6	14
				3/2	d 3/2	4	
	12	20	0	1/2	51/2	2	
4			3	7/2	17/2	8	28
				5/2	1012	6	
			1	3/2	\$3/2	4	
	20	40		1/2	\$1/2	2	
5			4	9/2	89/2	10	50
				7/2	g7/2	8	
			2	5/2	15/2	6	
				3/2	d3/2	4	
	30	70	0	1/2	\$1/2	2	
6			5	11/2	h11/2	12	82
				9/2	1912	10	
			3	7/2	f7/2	8	
				5 / 2	fs/2	6	
			1	3/2	\$\$12	4	
	42	112		1/2	\$1/2	2	
7			6	13/2	\$13/2	14	126
				11/2	\$11/2	12	
			4	9/2	89/2	10	

structure" for each completed r-group, together with the highest *j*-term of the next succeeding *r*-group. This classification of states is in good agreement with the spins and magnetic moments of the nuclei with odd mass number, so far as they are known at present. The anharmonic oscillator model seems to us preferable to the potential well model,² since the range of the nuclear forces is not notably smaller than the nuclear radius.

A more detailed account will appear in three communications to Naturwissenschaften.3

 1 See, e.g., H. A. Bethe and R. Bacher, Rev. Mod. Phys. 8, 82 (1937), pars 32-34, 3 Which anyhow does not lead to a very different term-sequence compared with that of an anharmonic oscillator, see reference 1, 3 (a) Haxel, Jensen, and Suess, Naturwiss. (in press), (b) Suess, Haxel, and Jensen, Naturwiss. (in press).

Concerning the Abundance of Atmospheric Carbon Monoxide

ARTHUR ADEL Arizona State College, Flagstaff, Arizona April 19, 1949

N October of 1941 the 4.7-micron region of the solar spectrum was examined by the author at the Lowell Observatory. Flagstaff, for evidence of the carbon monoxide fundamental. The observation was made with a 2400-lines/ inch grating in an f/5-Pfund type spectrometer of focal length 30 inches. Galvanometer deflections were recorded photographically. The solar spectrum was compared with laboratory observations,1 but no conclusive evidence could be deduced for the existence of spectroscopically detectable quantities of carbon monoxide in the atmosphere above the observatory. The adequacy of the solar spectrum can be judged from the fact that carbon dioxide fine structure (some of it since traced to vs of C13O218), which is twice as difficult to resolve as carbon monoxide fine structure, was abundantly present and clearly resolved.

One notes with interest, therefore, Migeotte's recent observation of the carbon monoxide fundamental as a prominent feature in the solar spectrum at Columbus, Ohio.²

The purely local nature of atmospheric abundance of carbon monoxide is emphasized by its absence over Flagstaff,

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On Closed Shells in Nuclei*

MARIA G. MAYER Argonne National Laboratory and Institute for Nuclear Studies, University of Chicago, Chicago, Illinois (Received April 16, 1948)

Experimental facts are summarized to show that nuclei with 20, 50, 82, or 126 neutrons or protons are particularly stable.

T has been suggested in the past that special numbers of neutrons or protons in the nucleus form a particularly stable configuration.¹ The complete evidence for this has never been summarized, nor is it generally recognized how convincing this evidence is. That twenty neutrons or protons (Ca⁴⁰) form a closed shell is predicted by the Hartree model. A number of calculations support this fact.² These considerations will not be repeated here. In this paper, the experimental facts indicating a particular stability of shells of 50 and 82 protons and of 50, 82, and 126 neutrons will be listed.

I. ISOTOPIC ABUNDANCES

The discussion in this section will be mostly confined to the heavy elements, which for this purpose may be defined as those with atomic number greater than 33; selenium would be the first "heavy" element. For these elements, the isotopic abundances show a number of striking regularities which are violated in very few cases.

(a) For elements with even Z, the relative

¹ W. Elsasser, J. de phys. et rad. 5, 625 (1934). ² E. Wigner, Phys. Rev. 51, 947 (1937); W. H. Barkas, Phys. Rev. 55, 691 (1939).

abundance of a single isotope is not greater than 60 percent. This becomes more pronounced with increasing Z; for Z>40, relative abundances greater than 35 percent are not encountered. The exceptions to this rule are given in Table I.

(b) The isotopic abundances are not symmetrically distributed around the center, but the light, neutron-poor isotopes have low abundances. The concentration of the lightest isotope is, as a rule, less than 2 percent. The exceptions to this rule are listed in Table II.

It is seen that the violations of these two regularities occur practically only at neutron numbers 50 and 82. Only the case of ruthenium in Table II, which is not a very pronounced exception, does not fall into one of these groups.

The case of samarium, where the lightest isotope has an isotopic abundance of 3 percent, is only a bare violation of the rule and may not seem striking. However, what is extraordinary, the next heavier even isotope of samarium, Sm¹⁴⁶ with 84 neutrons, which one would expect to find in greater concentration, does not exist at all.

II. NUMBER OF ISOTONES

Figures 1 and 2 reproduce the parts of the table by Segrè in the region of nuclei with 50

^{*} This document is based on work performed under Contract Number W-31-109-eng-38 for the Atomic Energy Commission at the Argonne National Laboratory. Submitted for declassification on February 13th, 1948.

since a rigid or liquid nucleus as a whole would have no orbital momentum in its lowest state.

The scheme proposed by Mayer follows exactly the order in a potential well. It achieves the breaks at the correct places by the assumption of a very strong spin-orbit coupling at high angular momentum values.

A summary of the three schemes is given in Table I. All three schemes give, of course, the empirical shell numbers and a statistical correlation with observed spins and moments. A decision between the schemes may be hoped for through discussion of new data which may tend to tip the scales in a definite direction, or by more theoretical work. Among the latter would be a refined calculation of the effects of the Coulomb forces on the density distribution in a nucleus, improved treatment of the many body problem, and better understanding of the spin-orbit coupling in nuclei.

It should be emphasized that the existence and the characteristics of nuclear shell structure have become now much more clearly established than formerly in spite of the ambiguities in their interpretation. Particularly there is a definite correlation between spin and shell structure. This does not mean necessarily that the individual particle model is better than hitherto assumed. The shell structure in nuclei, is, however, so pronounced an effect that one may hope to obtain an interpretation even on basis of such a crude approximation as the individual particle model.

* This letter has been written on request by the editor of the Physica Review, who received the papers, reference 1 and 2, by the same mail. 1 Eugene Feenberg and Kenyon C, Hammack, Phys. Rev. 75, 1877 (1949). 2 L. W. Nordheim, Phys. Rev. 75, 1894 (1949). 3 Maria G, Mayer, Phys. Rev. 75, 1969 (1949).

On Closed Shells in Nuclei, II

MARIA GOEPPERT MAYER Argonne National Laboratory and Department of Physics, University of Chicago, Chicago, Illinois February 4, 1949

THE spins and magnetic moments of the even-odd nuclei have been used by Feenberg1 2 and Nordheim3 to determine the angular momentum of the eigenfunction of the odd particle. The tabulations given by them indicate that spin orbit coupling favors the state of higher total angular momentum. If strong spin-orbit coupling, increasing with angular momentum, is assumed, a level assignment different from either Feenberg or Nordheim is obtained. This assignment encounters a very few contradictions with experimental facts and requires no major crossing of the levels from those of a square well potential. The magic numbers 50, 82, and 126 occur at the place of the spin-orbit splitting of levels of high angular momentum.

Table I contains in column two, in order of decreasing binding energy, the levels of the square well potential. The quantum number gives the number of radial nodes. Two levels of the same quantum number cannot cross for any type of potential well, except due to spin-orbit splitting. No evidence of any crossing is found. Column three contains the usual spectroscopic designation of the levels, as used by Nordheim and Feenberg. Column one groups together those levels which are degenerate for a three-dimensional isotropic oscillator potential. A well with rounded corners will have a behavior in between these two potentials. The shell grouping is given in column five, with the numbers of particles per shell and the total number of particles up to and including each shell in column six and seven, respectively.

Within each shell the levels may be expected to be close in energy, and not necessarily in the order of the table, although the order of levels of the same orbital angular momentum and different spin should be maintained. Two exceptions, 11Na23

with spin 3/2 in stead of the expected $d_{5/2}$, and 25 Mn⁵⁵ with 5/2instead of the expected $f_{7/2}$, are the only violations.

Table II lists the known spins and orbital assignments from magnetic moments4 when these are known and unambiguous, for the even-odd nuclei up to 83. Beyond 83 the data is limited and no exceptions to the assignment appear.

Up to Z or N=20, the assignment is the same as that of Feenberg and Nordheim. At the beginning of the next shell, $f_{7/2}$ levels occur at 21 and 23, as they should. At 28 the $f_{7/2}$ levels should be filled, and no spins of 7/2 are encountered any more in this shell. This subshell may contribute to the stability of Ca⁴⁸. If the $g_{9/2}$ level did not cross the $p_{1/2}$ or $f_{5/2}$

TA	BLE	ł

Osc. No.	Square well	Spect. term	Spin term	No. of states	Shells	Total No.
0	15	15	151/2	2	2	2
			1 \$ 1/2	4)		
1	1⊅	2 <i>p</i>	1 \$ 3/2	2)	6	8
	[1d	3d	$1 d_{b/2}$	6		
2			1 1 2/2	4 }	12	
	25	25	251/2	2)		20
	$\begin{cases} 1f \\ f \end{cases}$		16	0	83	282
		4f	1/1/2	6)	0.	201
3			1/5/2		22	
	20	3 p	2 \$ 3/2	4	22	
			2 p1/2	2		
	(1g		1 8 9/2	10)		50
		5 <i>g</i>	1 g7/2	8)		
4	2d	4d	245/2	6		
			203/2	4	32	
	35	35	351/2	2		
			1 / 11/2	12		82
	(1h	64				
			1 h 9/2	10)		
5	2 5 3 p 4		2f1/2	8		
		5J	2fs/2	6		
			3 \$ 3/2	4	44	
		4 <i>p</i>	3 \$ 1/2	2		
			1 \$ 13/2	14		126
	(11	7 <i>i</i>				
			1111/2			
6	28	6g				
	3 <i>d</i>	5d				
	45	4 <i>s</i>				

levels, the first spin of 9/2 should occur at 41, which is indeed the case. Three nuclei with N or Z = 49 have $g_{9/2}$ orbits. No s or d levels should occur in this shell and there is no evidence for any.

The only exception to the proposed assignment in this shell is the spin 5/2 instead of 7/2 for Mn55, and the fact that the magnetic moment of 27Co59 indicates a g7/2 orbit instead of the expected $f_{7/2}$.

In the next shell two exceptions to the assignment occur. The spin of 1/2 for Mo95 with 53 would be a violation, but is experimentally doubtful. The magnetic moment of Eu163 indicates $f_{5/2}$ instead of the predicted $d_{5/2}$. No $h_{11/2}$ levels appear. It seems that these levels are filled in pairs only,

Thanks are due to Enrico Fermi for the remark "Is there any indication of spin-orbit coupling?" which was the origin of this paper.

Signatures of large shell gaps & magic numbers

Combinations of:

- Kinks in 1n and 2n separation energies
- Large E(2⁺) and small B(E2) -- signature of rigid spheres

E(2+)

B(E2)

CHART PRIMA

- Small σ(n,γ) (peaks in element abundances)
- Kinks in single-particle energies
- Kinks in radii



Ozawa et al., Phys. Rev. Lett. 84 (2000) 5493

We can use current machines to test shell model calculations as they predict where the neutron drip line will occur

Predict ²⁸O stable

The "Island of Inversion" – A brief history

C. Thibault et al: ^{31,32}Na, local increase of $S_{2n} \rightarrow N=20$ shell closure would lead to a decrease Phys. Rev. C 12, 646 (1975)

X. Campi et al: observation explained by deformation via filling of $vf_{7/2}$ in ^{31,32}Na

Nucl. Phys. A 251, 193 (1975)

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C. Detraz et al: <sup>32</sup>Na \rightarrow <sup>32</sup>Mg \beta decay, low 2<sup>+</sup> in <sup>32</sup>Mg (885 keV)
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Phys. Rev. C 19, 164 (1979)

B.H. Wildenthal et al: ³¹Na,³²Mg isolated cases, less n-rich neighbors well understood in *sd* shell Phys. Rev. C 22, 2260 (1980) E. Warburton, John A. Becker and B. A. Brown: Z=10-12 and N=20-22 have intruder ground state configurations (sd)⁻²(fp)⁺²

Phys. Rev. C 41, 1147 (1990)

 $d_{5/2}$

Energy of the first 2^+ state in the Mg isotopes

The Island of Inversion has been studied with beta decay (how the low lying 2+ was discovered) Coul Ex (fast beam at RIKEN and NSCL) slow beam at REX-ISOLODE, various stripping and pickup Reactions.

> The main reason for this emphasis on the mass region around 32Mg is simply that it is the first experimentally accessible set of nuclei where the p-n interaction totally dominated the low lying structure

/hat

We also know ⁴²Si with 14 protons and 28 neutrons is deformed based on its first 2+ energy as is ⁴⁴S with 16 protons and 28 neutrons.

What about 46 Ar, with 18 protons and 28 neutrons? The last stable Ar isotope is 40. The first 2+ in 46 Ar is 1.58 MeV

Of course 48Ca with 20 protons and 28 neutrons looks spherical just to add to the confusion. Its first 2+ is at 3.8 MeV Caution in jumping to conclusions about shell modification "Single particle" orbitals are dressed even in magic nuclei Importance of coupling of single-particles to 2⁺ & 3⁻ phonons

But note that the *p* orbitals are hardly affected!

p orbitals stay above experimental values.

From many studies around the world at stable and radioactive beam laboratories, we now know that the magic numbers of 20 and 28 are not always magic.

In fact there are times when we see that neutron number 16 is magic.

The region around Z=12 and N=20 is now known as the island of inversion and arises because deformed and spherical shapes are very close together in energy. The structure studies have shown that knowledge of the p-n interaction is critical for any shell model to have a predictive power As we began to think about what nuclear structure one would expect as you add neutrons since there was interest in building up the elements in stars through neutron capture, it was felt that something might happen to the magic numbers because the spin orbit should decrease since the neutrons are now only on the surface.

Remember, that the real puzzle was why Z or N =50 was magic and it is the spin orbit interaction that makes the g9/2 orbit drops down into the f-p shell causing the gap.

So to look for the decrease in spin orbit as a function of neutron number we will have to be able to study Ni 78, with 28 protons and 50 neutrons, a job for future labs. Can we calculate the structure of light nuclei beginning from knowing two body interactions and then adding them up to produce the observed structure?

In early years (1985 or so) argument was made that with powerful computers could do so. So now we can do these calculations, what happens?

Big Trouble

Next I want to consider a puzzle in some data just taken that involves polarization.

Some definitions for spin physics

A(ā,a)A A(a,ā)A A(ā,b)A A(a,b)A

Analyzing power Polarization Analyzing power Polarization

Spin up minus spin down to show there is an analyzing power for $^{7}Li+^{12}C$

Using this rotation, the cross section σ_{pol} measured for a spin $\frac{3}{2}$ polarized beam is expanded in the Madison frame as

$$\begin{split} \sigma_{pol} &= \sigma_{unp} \ [1 + \sqrt{2} \sin\beta \cos\phi t_{10} \, iT_{11} \ + \frac{1}{2} (3\cos^2\beta - 1) \, t_{20} \, T_{20} \\ &+ \sqrt{3/2} \sin 2\beta \sin\phi t_{20} \, T_{21} \ - \sqrt{3/2} \sin^2\beta \cos 2\phi \, t_{20} \, T_{22} \\ &+ \frac{1}{2} \sqrt{3} \sin\beta \, (5\cos^2\beta - 1) \cos\phi \, t_{30} \, iT_{31} \\ &+ \sqrt{15/8} \sin\beta \sin 2\beta \sin 2\phi \, t_{30} \, iT_{32} \ - \frac{1}{2} \sqrt{5} \sin^3\beta \cos 3\phi \, t_{30} \, iT_{33} \], \end{split}$$

•

R-L= $2\sqrt{2}(iT_{11}^*t_{10})$ Ay=($2/\sqrt{3}$) iT_{11} = (R-L)/ (t_{10}) $\sqrt{6}$

Scattering of ⁴He(⁶Li,⁶Li)⁴He

FIG. 2. (Color online) Cross section and vector analyzing power for the p-⁶He elastic scattering at 71 MeV/nucleon (filled circles), together with cross-section data in Ref. [4] (open circles) and data for ⁶Li [18] (triangles) targets. The dotted lines are a *t*-folding calculation in Ref. [20]. Results of 6BF calculations with harmonic oscillator (dashed), WS with (solid), and without halo (dot-dashed) singleparticle wave functions are shown.

FIG. 3. (Color online) Present data compared with the clusterfolding calculations. Solid, dashed, and dot-dashed lines represent calculations with full, $V_{pn;\ell s} = 0$, and $V_{pn;\ell s} = V_{pn;central} = 0$ interactions, respectively. Data for the p-⁴He scattering [22] (squares) are also shown.

6He(p,p)6He Large Crosses

FIG. 7. (Color online) Differential cross sections and analyzing powers of $p+^{4}$ He at 72 MeV (open squares: Ref. [23]), $p+^{6}$ Li at 72 MeV (open triangles: Ref. [24]), and $p+^{6}$ He at 71 MeV (open circles: Ref. [22]; closed circles: present work).

 A_y of $p+{}^{6}$ He decreases in $\theta_{c.m.} = 37^{\circ}-55^{\circ}$, which is rather similar to those of $p+{}^{4}$ He. While the large error bars prevent us from observing the difference between A_y of $p+{}^{6}$ He and of $p+{}^{4}$ He, it is clearly seen that the angular distribution of A_y in $p+{}^{6}$ He deviates from that of $p+{}^{6}$ Li. One last puzzle- what produces the spin of the proton? Called the spin crisis. Neat idea is you have 3 quarks each of Spin ½ they pair up and you are left with ½.

Do polarized electron scattering and get only one half of the spin. Propose rest of spin carried by the gluons. Polarized photons on polarized H and don't get required contribution to make ¹/₂.

Another puzzle you will work to solve

If this is done, one arrives at a problem that was solved ten years ago by Wannier,³ and the resulting theory of susceptibility is essentially that already given by Dingle.4

¹ W. Band, Phys. Rev. 91, 249 (1953).
 ² R. Courant and D. Hilbert, Methoden der Mathematischen Physik
 (J. Springer, Berlin, 1931), second edition, Vol. I, Chap. 6, Sec. 4,
 ³ G. H. Wannier, Phys. Rev. 64, 358 (1943).
 ⁴ R. B. Dingle, Proc. Roy. Soc. (London) A212, 47 (1952).

The Density Effect for the Ionization Loss at Low Energies*

R. M. STERNHEIMER Brookhaven National Laboratory, Upton, New York (Received November 18, 1953)

*HE density effect for the ionization loss of charged particles has been evaluated recently for a number of substances.¹ At low energies, the density effect is given by1

$$\Delta \frac{dE}{dx} = \frac{2\pi n_0 e^4}{mv^2} \sum_j f_j \ln\left(\frac{l_j^2}{\nu_j^2}\right),\tag{1}$$

where n_0 is the electronic density, f_i and v_i are the oscillator strength and the atomic frequency [in units $\nu_p = (n_0 e^2 / \pi m)^{\frac{1}{2}}$] for the *j*th transition; l_j is given by

$$l_{j} = (\nu_{j}^{2} + f_{j})^{\frac{1}{2}}.$$
 (2)

In the experiment of Bakker and Segrè² on the stopping power for 340-Mev protons, this density effect was included, so that this experiment measures the ionization potential,3

$$I_{BS} = h\nu_p \ \Pi \ l_i^{f_i}, \tag{3}$$

rather than the ionization potential for the isolated atom, $I = h\nu_p \prod_i \nu_i^{f_i}$. When the values of I_{BS} are used to calculate the ionization loss, the density effect correction is given by

$$\delta = \sum_{i} f_{i} \ln\left(\frac{l_{i}^{2} + l^{2}}{\nu_{i}^{2}}\right) - l^{2}(1 - \beta^{2}) - \sum_{i} f_{i} \ln\frac{l_{i}^{2}}{\nu_{i}^{2}}, \tag{4}$$

where *l* is determined by the equation:

$$\beta^{-2} - 1 = \sum_{j} f_{j} / (\nu_{j}^{2} + l^{2}).$$
(5)

The first two terms of (4) give the correction which would have to be applied if the atomic ionization potential were used [see Eq. (46) of A]. The last term is due to the density effect already included in I_{BS} . Equation (4) can be written

$$\delta = \sum_{j} f_{j} \ln\left(\frac{l_{j}^{2} + l^{2}}{l_{j}^{2}}\right) - l^{2}(1 - \beta^{2}), \tag{6}$$

where the l_i are such that Eq. (3) is satisfied. This procedure was used in A to calculate δ and gives exact results for the case of solids. However, for gases the density effect at low energies is negligibly small so that the atomic ionization potential I should be used rather than I_{BS} . In A the values of the ionization potential for gases were obtained by interpolation of IBS for neighboring substances in the periodic table. The correction $I_{BS}-I$ is very small. In view of (1) and (2), I/I_{BS} is given by $\exp(-D/2)$, where

$$D = \sum_{j} f_{j} \ln\left(1 + \frac{f_{j}}{\nu_{j}^{2}}\right), \tag{7}$$

and $(2\pi n_0 e^4/mv^2)D$ is the amount by which dE/dx for gases exceeds the value calculated using I_{BS} . D was calculated for some of the substances listed in Table I of A, using the ionization potentials and the f_i which are given in this table. The results are: D(Li) = 0.34, D(C) = 0.22, D(Al) = 0.056, D(Fe) = 0.14, D(Cu) = 0.13, D(Ag) = 0.09, D(Sn) = 0.05, D(W) = 0.07. By interpolation one finds: $D(N_2) = 0.20$, $D(O_2) = 0.17$, D(Ne) = 0.13, D(A) = 0.09, D(Kr) = 0.11, D(Xe) = 0.05.

It should be emphasized that these values of D are considerably uncertain because of the sensitivity of D to the distribution of the low frequencies ν_i which correspond to excitation of the outer electron shells. An alternative method of obtaining D is to deduce the effective ionization potential I_{i} of the outermost electron shell for the gas from the observed index of refraction n in the optical region,4 which is given by:

$$n=1+\frac{f_i}{2[I_i/(h\nu_p)_{\text{gas}}]^2},$$
(8)

where $f_i = N_i/Z$ and N_i is the number of valence electrons which was taken as the number of electrons with the highest principal quantum number. Thus, for⁴ Kr, n=1.00043, $f_i=8/36$, and $h\nu_p=0.085$ ry lead to $I_i=1.37$ ry. The density effect which would be measured for this dispersion oscillator in a solid is given by:

$$D = f_i \ln \left\{ 1 + \frac{f_i}{\left[I_j / (h\nu_p)_{\text{solid}} \right]^2} \right\}, \tag{9}$$

where $(h\nu_p)_{solid}$ is the average of $h\nu_p$ for the neighboring solids measured by Bakker and Segre² Equation (9) gives: $D(N_2) = 0.53$, $D(O_2) = 0.48$, D(Ne) = 0.24, D(Kr) = 0.26, D(Xe) = 0.17. A comparison of these values with those obtained above indicates the uncertainty in D. However, it should be noted that even with the larger values obtained from the index of refraction the correction is quite small. D may be compared with the square bracket of Eq. (11) of A for dE/dx which is ~20. Thus, D=0.5corresponds to $a \sim 2.5$ percent increase of dE/dx. This correction is hardly outside the limits of error owing to the uncertainty of the experimental values^{2,5} of I.

In view of the smallness of D and the uncertainty about its value, it seems questionable whether this correction should be applied at present to the ionization loss for gases.6 For high energies $(p/\mu c \gtrsim 100)$ when the density effect for the gas is important, D is smaller than Eq. (7) and becomes zero when the ionization loss has attained saturation (dE/dx independent of I). I would like to thank Dr. A. O. Hanson and Dr. G. N. Whyte for pointing out the existence of the correction for the ionization potential of gases.

Work done under the auspices of the U. S. Atomic Energy Commission.
¹ R. M. Sternheimer, Phys. Rev. 88, 851 (1952). Unless otherwise indicated, we use here the same notation as in that paper, which will be referred to as A.
¹ C. Dakker, and E. Segré, Phys. Rev. 81, 489 (1951).
¹ C. Dakker, Mills, and Hanson, Phys. Rev. 88, 1137 (1952).
⁴ H. H. Landolt and R. Bornstein, Physikalisch-Chemische Tabellen (Julius Springer, Berlin, 1923), fifth edition, Vol. 2, p. 961.
⁶ R. Mather and E. Segré, Phys. Rev. 84, 191 (1951); D. C. Sachs and J. R. Richardson, Phys. Rev. 89, 1163 (1953).
⁶ We note that the Lorentz term and the damping effect (see refernce 1) introduce additional corrections which may be of the same order as D.

Coulomb Excitation of Heavy and Medium Heavy Nuclei by Alpha Particles*

G. M. TEMMER AND N. P. HEYDENBURG

Department of Terrestrial Magnetism, Carnegie Institution of Washington, Washington, D. C. (Received December 2, 1953)

WE wish to report some preliminary results concerning the Coulomb excitation of some 35 nuclei between Z = 20and Z=90 by both alpha particles and protons with energies up to 3.8 Mev. Recent work on this process induced by protons in tantalum, tungsten, and a few other heavy elements^{1,2} has pointed up the great usefulness of this method in studying transition probabilities between low-lying nuclear energy levels. It seemed desirable to extend the scope of these investigations, especially since such very definite predictions are made concerning the properties of many of these excited states by the collective model of the nucleus.3,4

Because of their higher charge and lower velocity for a given energy, alpha particles are eminently suited for the electric excitation of nuclei of lower atomic number since the condition for the simplified classical treatment of the process, $5.6 2Z_1Z_2e^2/\hbar v$

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(1)

(4)

(5)

(6)

(7)

If this is done, one arrives at a problem that was solved ten low frequencies vi which correspond to excitation of the outer years ago by Wannier, and the resulting theory of susceptibility is essentially that already given by Dingle. I_{i} the outermost electron the effective ionization potential I_{i} of the outermost electron

W. Bard, Phys. Rev. 91, 249 (1953).
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 R. Courant and D. Rilbert, Mckholes der Maßtersatiszken Physik (5, Springer, Berlin, 1901), ercond einien, Vol. I, Chap. 6, Sec. 4.
 H. Wannier, Phys. Rev. 54, 358 (1941).
 R. B. Dangie, Proc. Rev. 550. (Jondon) 4521, 47 (1952).

The Density Effect for the Ionization Loss at Low Energies*

R. M. STERNBEIMER Broskkasen National Laboratory, Upton, New York (Received November 18, 1953)

THE density effect for the ionization loss of charged particles has been evaluated recently for a number of substances.¹ At low energies, the density effect is given by¹

 $\Delta \frac{dE}{dx} = \frac{2\pi n_i e^i}{m^2} \sum_i f_i \ln\left(\frac{l_i^{ij}}{r_i^{ij}}\right),$

where s_0 is the electronic density, f_j and ν_i are the oscillator strength and the atomic frequency [in units $\nu_p \approx (n_0 e^2/m_0)^{\frac{1}{2}}$ for the jth transition; I_j is given by

$l_i = (y_i^2 + f_i)^{\frac{1}{2}}$

In the experiment of Bakker and Segrè² on the stopping power for 340-Mev protons, this density effect was included, so that this experiment measures the ionization potential,⁹ (3)

$I_{BS} = h_{F_P} \prod l_i l_i$

rather than the ionization potential for the isolated atom, $I = h_F \prod_j p_j r_j t_j$. When the values of I_{BS} are used to calculate the ionization loss, the density effect correction is given by

 $\delta = \sum_{i} f_{i} \ln \left(\frac{l_{i}^{2} + l^{2}}{r_{i}^{2}} \right) - l^{2} (1 - \beta^{2}) - \sum_{i} f_{i} \ln \frac{l_{i}^{2}}{r_{i}^{2}},$

where *l* is determined by the equation : $\beta^{-2} - 1 = \sum f_j / (r_1^2 + l^2).$

The first two terms of (4) give the correction which would have to be applied if the atomic ionization potential were used [see Eq. (46) of A]. The last term is due to the density effect already included in I_{nu} . Equation (4) are b ded in I_{BS} . Equation (4) can be written

$$\delta = \sum_{j} f_{j} \ln \left(\frac{l_{j}^{3} + \rho}{l_{j}^{2}} \right) - P(1 - \beta^{2}),$$

where the l_1 are such that Eq. (3) is estimated. This procedure was used in A to calculate δ and gives exact results for the case of solids. However, for gases the density effect at low energies is negligibly small so that the atomic ionization potential I should be used rather than IBS. In A the values of the ionization potential for gases were obtained by interpolation of IBS for neighboring substances in the periodic table. The correction I_{BS} -I is very small. In view of (1) and (2), I/I_{BS} is given by $\exp(-D/2)$, where

$$D = \sum_{i} f_{i} \ln \left(1 + \frac{f_{i}}{r_{i}^{A}}\right),$$

and $(2\pi n_{45}^{4}/mv^{3})D$ is the amount by which dE/dz for gases exceeds the value calculated using I_{BS} . D was calculated for some of the substances listed in Table I of A, using the ionization potentials and the f_i which are given in this table. The results are: D(Li) = 0.34 D(C) = 0.22 D(AD) = 0.056 D(Fe) = 0.14at: D(a) = 0.37, D(c) = 0.42, D(A) = 0.005, D(R) = 0.005, D(R) = 0.07, By interpolation one finds: $D(N_2) = 0.20$, $D(O_3) = 0.17$, D(Ne) = 0.13,

 $s=1+\frac{JI}{2[I_i/\langle kr_p \rangle_{gas}]^2}$ where $f_i = N_i/Z$ and N_i is the number of valence electrons which was taken as the number of electrons with the highest principal quantum number. Thus, for Kr, n=1.00043, $f_2=8/36$, and $h_{F_2}=0.085$ ry lead to $I_3=1.37$ ry. The density effect which would be measured for this dispersion oscillator in a solid is given by:

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optical region,4 which is given by :

 $D = f_j \ln \left\{ 1 + \frac{f_i}{\left[I_j / (k \nu_g)_{solid} \right]^2} \right\},$

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(8)

(9)

where $(h\nu_p)_{solid}$ is the average of $h\nu_p$ for the neighboring solids measured by Bakker and Segret.³ Equation (9) gives: $D(N_2)=0.53$, $D(O_2)=0.48$, D(Ne)=0.24, D(Kr)=0.26, D(Xe)=0.17. A comparison of these values with those obtained above indicates the uncertainty in D. However, it should be noted that even with the larger values obtained from the index of refraction the correction is quite small. D may be compared with the square bracket of Eq. (11) of A for dE/dx which is ~20. Thus, D=0.5corresponds to a~2.5 percent increase of dE/dx. This correction is hardly outside the limits of error owing to the uncertainty of the experimental values^{1,8} of *I*. In view of the smallness of D and the uncertainty about its

value, it seems questionable whether this correction should be applied at present to the ionization loss for gases.⁴ For high energies $(p/\mu c \gtrsim 100)$ when the density effect for the gas is im-portant, D is smaller than Eq. (7) and becomes zero when the onization loss has attained saturation (dE/dx independent of D). would like to thank Dr. A. O. Hanson and Dr. G. N. Whyte for pointing out the existence of the correction for the ionization potential of gases.

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G. M. TEMMER AND N. P. HEYDERBURG Terrestrial Magnetine, Cornectic Institution of Washington, Washington, D. C. (Received Desember 2, 1953)

W^E wish to report some preliminary results concerning the Coulomb excitation of some 35 nuclei between Z=20 and Z =00 by both alpha particles and protons with energies up to 3.8 Mev. Recent work on this process induced by protons in tantalum, tungsten, and a few other heavy elements^{1,4} has pointed up the great usefulness of this method in studying transition probabilities between low-lying nuclear energy levels. It seemed desirable to extend the scope of these investigations, especially since such very definite predictions are made concerning the properties of many of these excited states by the collective model

of the nucleus \$4 Because of their higher charge and lower velocity for a given
 D(A)=0.07, D(K2)=0.11, D(X2)=0.02, Washington, Washingt LETTERS TO THE EDITOR

 \gg 1 (Z₁ and Z₂ are the charges of projectile and target, v is their photomultiplier tube, serves as the gamma-ray detector. This relative velocity), is well satisfied down to the lower end of the entire assembly is surrounded by a 1-in. haver of diad. The output periodic table. A further advantage in the use of helium lons lies is for through a conventional linear amplifier to a single-channel in the fact that they turn out to be relatively much less effective pulse-height nanizer. A one-voic channel width is used through. in exciting the troublesome characteristic K x-radiation as compared with the nuclear gamma radiation in the targets; for instance, at 3 Mev the relative yields of K x-rays and 137-kev

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Fig. 1. (a) Pulse-height distribution of 137-kev gamma radiation and 61-kev K x-radiation from Ta¹⁰ bombarded by 3-Mev alphas. No absorber. (b) Palse-height distribution of 128-kev gamma radiation from Ma¹⁰ bombarded by 2-Mev alphas. For previous level information, see reference 8.

gamma rays from tantalum are 2.27 for alpha particles and 14.8 for protons. Background problems are reduced by several orders of magnitude compared with proton excitation,? permitting us to

operate with solid angles approaching 2r. Our experimental set-up is very simple. The beam from our electrostatic generator strikes the target which is thick to the incident particles but thin to the emerging radiation. Either a 1-in. or 2-in. thick NaI(TI) crystal (depending on the energy of the gamma radiation under study), separated from the target by about 0.040 in. aluminum and mounted on a Dumont 6292

TABLE I. Survey of levels below 500 kev observed by Coulomb excitation with 3-Mev alphas. Approximate intensity relative to Ta^{1H} (uncorrected for relative abundance and internal conversion).

Element *	Abundance %	Eγ(observed) kev	Approx. int.	Eγ(known kev
16.2.4	92,5	478		478
*F**.4	100	108, 196		110, 190
		1280		1280
112/02/04	100	430		. 440
nSc44	100	388	0.1	450
1110	7.8	155, 433	0.5, 0.08	185
uV ^a	99.8	320	0,24	320
nMu ⁴⁴	100	128	10	130
#Fe ⁶³	2.2	122	0.14	14, 117, 13
wZn ^{er}	4.1	93, 182	0.02, 0.06	92, 182
nGen	7.7	68	0.23	54
11A8 ³⁴	600	68, 199, 283	0.02, 0.4, 0.3	67, 202, 28
uSe ¹¹	7.6	(155), 237, 440	0.15, 0.2	160, 237
arRbst, ar	72, 28	150	0.05	150, 410
2557		362	0.07	2
alr	1.54	904	0,14	89, 93 ^d
(+n8100.10)				
42Mo55	15.7	198	0.05	200
aRhim	100	305, 370	0.1, 0.04	40
aPdus	22.8	68 /	0.02	63
acqui	12.8	300?	0.01	247, 340
elnus.	95,8	500		500*
MOD.	42.8	~ 100	0.02	153
nC8m	100	85	0,6	81
1) Print	100	~ 150	0.01	145
eaSm10	20.0	112	2,5	122
23 4 0 1 1	3.0	82	0.6	84
711 2141	100	137	1.00	137
7600183,181,088	85.4	~120	1.3	102, 113, 13
111100	49.5	220?	0.003	279
10.1 (2)000	100	50	0.20	50

 The following elements did not show lines below 500 kev with alphas:
 Mg, AL, Si, P. S. Cl, K. Ca, Cr, Co, Ni, Cu, Ga, Nb, Ag, Te, Pt, Au, Pb, Bi. Via compound nucleus. For Z <20 sec text.
 4 All entries refer to IIf impurity (3%).

With protons only. ¹T. Huus and J. H. Bjerregaard, Phys. Rev. 92, 1579 (1953).

out. The known gamma-ray lines of ionium (Th23) at 68 kev and 142 kev. In¹¹⁴ at 190 kev. Cd¹¹¹ at 170 kev and 247 kev. Na²²

at 0.511 Mev (annihilation) and 1.28 Mev, and Cs127 at 662 key

are used for energy calibration of the system. We are able satis-factorily to detect radiation down to about 10 key. Figure 1(a)

shows the pulse-height distribution we obtain with a 5-mi

Iantalum target bombarded with 3-Mey alphas, exhibiting the R

LAB ENERGY (MEV) Pro. 2. (a) Excitation functions for K x-rays and gamma rays from π^{TaW} , π^{μ}_{μ} K, rays yield (protons): γ_{μ} =17.14. π_{μ} such as the second second

(0)

a line at 128 kev.* Space does not permit our including the spectra for all the targets we have examined; we shall do so in our more complete publication

The relative yields of K x-rays and gamma rays from tantalum inder both proton and alpha-particle hombardment are shown ⁴⁷ in Fig. 2(a), illustrating the point mentioned above. The alpha particle excitation function for one of the lighter nuclei $(_{12}Mn^{49})$ is shown in Fig. 2(b). Note that the curves for gamma excitation by protons and alphas in tantalum and by alphas in manganese are *theoretical* thick-target curves, calculated by numerically integrating the theoretical cross sections⁶ over the particle ranges and normalized at the experimental points of highest energy. The agreement is indeed gratifying, completely confirming the

LETTERS TO THE EDITOR

vestigated and restrict ourselves to a tabular presentation of the salient features in Table I. We list, in turn, the target nucleus, mass number, and relative abundance of the isotope believed responsible for the radiation, gamma-ray energy observed and energy levels known from other sources," and a qualitative estiwhere

apha bombardment, uncorrected for internal conversion. The highest line excited was at 500 kev (In¹¹¹).

will be the subject of another publication.¹⁰ In this connection, we have found striking evidence for Coulomb excitation of the 196-kev level in fluorine (second excited state) by alphas below 2.2 Mev before the onset of the resonances. This phenomenon is not observable with protons because of the complicated resonance structure which then extends down to very low energies. We are extending our measurements into the rare-earth region where many nuclei have low-lying excited states. Our final results will include determinations of absolute yields and hence values of the reduced transition probabilities B.(2).4 as well as a comparison of the experimental facts with the rotational interpre-

4 K. A. Ter Muttingan, J. Bugl. Then: Prop. (Uncand.) 46 ver-76 March and A. Muthar. Prop. Rev. 9 (19) (1933), 1990 Ostarre (castare) genum andialon with energies generat and the strain of the strain andialon with energies and the "Property of the strain and the strain and the strain and the strain and the strain of the strain and the strain of the strain and the strain of the strain and the american Sprints Strain, Stark and the strain and the str

The Specific Ionization and Energy Loss of a **Fast Charged Particle**

J. R. ALLEN* H. H. Wills Physical Loberatory, Bristel, England (Received October 19, 1953)

T may be shown that the primary ionization and excitation density jp (i.e., the average number of electrons excited or ejected per unit volume either directly or by absorption of Cerenkov radiation), because of the passage of a fast charged particle through a medium, is, at distances greater than ρ_b , the minimum impact parameter, given by

$$r = \frac{c}{h} \int \frac{\tau \mathbf{G}^* \cdot \mathbf{G}}{\omega} d\omega.$$

In this equation, the particle's field is treated as a perturbation in a semiclassical approximation; $\tau(\omega)$ is the photoelectric absorption coefficient; $\mathfrak{E}(\omega, \sigma)$ is the Fourier time transform of the in a semication approximation, $|\psi_0|$ is the protococcut also be assorption coefficient. $|\xi_0|_0$ is the fourier time transform of the mass computed from the absorption coefficient. If ξ_1 is calculated expressions for r and r change considerably the time is absorption model is used, If ξ_1 is calculated by the phenomenological approximation due effective electric field.

to Fermi,¹ Eq. (1) and the similarly derived equation for the and the oscillator strengths are assumed proportional to the

nature of the excitation process down to at least Z=25 for alphas energy absorption dentity may be integrated over all impact and up to 3.6 MeV for protons on tantalum (Z=73). We postpone parameters greater than ρ_{e} . We obtain for the total primary a detailed discussion of the results on the various match we have ionization and excitation $(>\rho)$ $P(\rho_0) = \int \Phi dE, \ \tau \neq 0; \ P = 0, \ \tau = 0$ (2)

and for the total energy absorption $(\rho > \rho_0)$

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(3)

 $\Gamma_T(\rho_0) = W_0 \int \Phi E dE$, $\tau \neq 0$; $\Gamma_T = 0$, $\tau = 0$,

$$\Phi = \frac{a^2 Z^4}{\pi a_0 \beta^4} \frac{\delta}{\kappa^* \kappa} Im[\kappa j^* K_0^*(j) K_1(j)];$$

s=e'+ie'' is the complex dielectric constant; $g=(\eta^2+\xi^2)^{1}$; $\eta=1-\beta^2e',\ \xi=\beta^2e'';\ \xi=[\alpha\rho_2 E/2\sqrt{2}\alpha_2\beta]_{\infty}^{\infty}\sqrt{(g+\eta)}-i\sqrt{(g-\eta)}];\ W_0$ is the Rydberg energy; a_1 is the Bohr radius; and E, the energy of the field components, is in rydbergs. Equation (3) is equivalent to Fermi's formula (22)1 when z =0.

The absorption coefficient τ and the dielectric constant ϵ' were obtained for silver bromide by the methods described below and Eqs. (2) and (3) were integrated numerically for all transfers less than 5000 ev. The results relative to the plateau values are shown in Fig. 1 plotted against the kinetic energy of the particle

ener

Fig. 1. P, the primary ionization and excitation; Pr, the energy absorption; and Tx, the ionizic energy of the primary electrons: computed (or a singly charged particle (craners-Xo0) excitations): Additional (Craners-Xo1) enditions (Craners-Xo1) enditions (Craners-Xo1) enditions (Craners-Xo1) endition (Craners-Xo1) endition (Craners-Xo1) endition (Craners-Xo1), Experimental values of relative grain dentity are due to Databit end, (percents (G)) (marked (G)) and Mortich (cretrences (G)) (marked (G)).

in units of me?. A discussion of the absorption resulting from the valence band of silver bromide has already been published,⁸ and it was shown that the large polarizability of this salt results in a considerable modification in the shape of the absorption bands. considerable modification in the shape of the absorption bands. Also, partly because of the large polarizability and partly because of the effect of the exclusion principle, there is a shift in the oscillator strengths towards the lower frequency bands. These considerations have been extended to the calculation of the absorption from the next few bands lying below the valence levels The shape of the absorption curves being assumed to be in accordance with these calculations, their magnitudes were checked by a comparison of the computed with the empirically observed dispersion in the visible and ultraviolet. The oscillator strengths and absorption coefficients for the far x-ray region were taken from experimental values 3.4 whereas for intermediate frequencies the absorption was calculated by the methods of Stobbes using (1) appropriate screening constants and a correction for the polar ization effect near the absorption edge. The values of the absorp-tion edges (in Rydberg units) and the total oscillator strengths for the various bands are shown in Table I. The dielectric constant

⁴ A preliminary account of the north will be presented at the New York metry and the second sec

Elements with Z < 20 are included here mainly for the purpo demonstrating the main sources of radiation from possible light-dement impurities. Li, F, and Na are found to be the only light elements yielding gamma rays below 500 key under alpha bombardment. The excitations of the 478-key state in Li¹ and the first two excited states in F¹⁹ at 108 kev and 196 kev (mainly by ordinary interlastic scattering of alphas involving compound nucleus formation with sharp resonances) have been studied and

tation^{3,4} of these levels.

Figure A.1 Two coordinate systems commonly used for describing polarization observables.

APPENDIX A

PROPERTIES OF POLARIZATION OBSERVABLES

This appendix summarizes the properties of spin tensor moments and analyzing powers. The formulae can be found in [Sim74], unless otherwise noted. The coordinate systems used are shown in fig. A.1, and the polarization observables are as defined in the Madison convention [BH71].

The spin tensor moments, also called (irreducible) statistical tensors, are defined in terms of the spin density matrix elements:

$$I_{kq} \equiv \sum_{mm'} \hat{I}\hat{k}(-)^{l-m-q} \begin{pmatrix} l & l & k \\ m' & -m & -q \end{pmatrix} \rho_{mm'}$$
(A.1)

The Wigner 3-j symbol is as defined by Brink and Satchler [BS62], and $\hat{k} \equiv \sqrt{2k + 1}$. Analyzing powers are defined by the following expression for the polarized differential cross section

$$\left[\frac{\mathrm{d}\sigma}{\mathrm{d}\Omega}\right]_{\mathrm{pol}} = \left[\frac{\mathrm{d}\sigma}{\mathrm{d}\Omega}\right]_{\mathrm{unpul}} \times \sum_{kq} t_{kq} \cdot T_{kq}^{*} \qquad (A.2)$$

The analyzing powers in a reaction $a + b \rightarrow c + d$ can be related to the quantum mechanical scattering amplitudes $F_{\gamma\delta}^{\alpha\beta}$ as expressed in

$$T_{kq}(a) = \frac{\sum_{\alpha\alpha'\beta\gamma\delta} \hat{I}_{\alpha}\hat{k}(-)^{I_{a}-\alpha-q} \begin{pmatrix} I_{a} & I_{a} & k \\ \alpha' & -\alpha & -q \end{pmatrix} (F_{\gamma\delta}^{\alpha\beta})^{*} F_{\gamma\delta}^{\alpha'\beta}}{\sum_{\alpha\beta\gamma\delta} |F_{\gamma\delta}^{\alpha\beta}|^{2}}$$
(A.3)

where α , β , γ , δ are the magnetic quantum numbers associated with the intrinsic spins $I_{a,b,c,d}$ of the particles a, b, c, d. The t_{kq} and T_{kq} are Hermitian, and so both obey $t_{kq}^* = (-)^q t_{k-q}$. Since both t_{kq} and T_{kq} are components of spherical tensors, their rotation properties are given by equations (A.4),

(a)
$$t_{kq}^{''} = \sum_{q'} D_{q'q}^{k} (\alpha \beta \gamma) t_{kq'}^{''}$$

(b) $t_{kq}^{'} = \sum_{q'} D_{qq'}^{k*} (\alpha \beta \gamma) t_{kq'}^{''}$
(c) $t_{kq}^{'} = \sum_{q'} D_{qq'}^{k*} (\alpha \beta \gamma) t_{kq'}$
(A.4)

in which (a) & (b) describe the same state in two frames connected by rotation through Euler angles α , β & γ , and (c) connects rotated states in the same frame.

The preceding formulae are general; the following refer to one of two special coordinate systems, either the helicity (H) frame in the Madison convention [BH71], or the transverse (T) frame, both shown previously in fig. A.1. Throughout this discussion transverse equations will have T superscripted analyzing powers, and helicity equations will be unsuperscripted.

If the existence of a spin symmetry axis is a good approximation, then the tensor moments are zero for $q \neq 0$ in a coordinate system where the z-axis is parallel to the

symmetry axis. Tensor moments in this coordinate system will be denoted \hat{t}_{ko} . The tensor moments in the helicity frame can be written in term of these \hat{t}_{ko} by applying equation (A.4b).

$$t_{kq} = D_{q0}^{k^*}(\alpha, \beta, 0) \, \hat{t}_{k0} = \sqrt{\frac{4\pi}{2k+1}} \, Y_{kq}(\beta, \alpha) \, \hat{t}_{k0}. \tag{A.5}$$

Historically, the angle $\phi = \alpha - \pi/2$ (see fig. A.1) has been used rather than the more conventional Euler angle α to describe the orientation of the spin symmetry axis in the *H* frame. Equation (A.6) has been written in terms of ϕ and β .

If parity conserving reactions are used, then $T_{kq} = (-)^{k-q} T_{k-q}$ and ${}^{T}T_{kq} = (-)^{q} {}^{T}T_{kq}$, and likewise for the t_{kq} . Hermiticity and parity conservation, together with (A.5), can be used to obtain from (A.2) the following explicit expression for the polarized differential cross section in the *H* frame:

$$\frac{\left(\frac{d\sigma}{d\Omega}\right)_{p}}{\left(\frac{d\sigma}{d\Omega}\right)_{u}} = \frac{\left(1 + \sqrt{2} \sin\beta \cos\phi \hat{t}_{10} i T_{11} + \frac{1}{2} (3\cos^{2}\beta - 1) \hat{t}_{20} T_{20} + \sqrt{6} \sin\beta \cos\phi \hat{t}_{20} T_{21} - \sqrt{\frac{3}{2}} \sin^{2}\beta \cos2\phi \hat{t}_{20} T_{22} \right)}{\left(-\sqrt{\frac{5}{4}} \sin^{3}\beta \cos3\phi \hat{t}_{20} i T_{33} + \sqrt{\frac{17}{2}} \sin^{2}\beta \cos\beta \sin2\phi \hat{t}_{30} i T_{32} + \sqrt{\frac{3}{4}} \sin\beta (5\cos^{2}\beta - 1) \cos\phi \hat{t}_{30} i T_{31}\right)}.$$
(A.6)

The connection between H frame and T frame analyzing powers is given by the following equations (the cartesian AP are defined in the H frame):

$${}^{T}T_{10} = \sqrt{2} iT_{11} = \sqrt{\frac{3}{2}} A_{y} = \text{purely real.}$$
 (A.7)

$${}^{T}T_{20} = -\frac{1}{2}T_{20} - \sqrt{\frac{3}{2}}T_{22} = \sqrt{\frac{1}{2}}A_{yy} = \text{purely real},$$
 (A.8)

$${}^{T}T_{22} = -\sqrt{\frac{3}{8}}T_{20} + iT_{21} + \frac{1}{2}T_{22} = \text{complex.}$$
 (A.9)

$${}^{T}T_{30} = -\sqrt{\frac{3}{4}} iT_{31} - \sqrt{\frac{5}{4}} iT_{33} = \text{purely real.}$$
 (A.10)

$${}^{T}T_{32} = -\sqrt{\frac{5}{8}} iT_{31} - T_{32} + \sqrt{\frac{3}{8}} iT_{33} = \text{complex.}$$
 (A.11)

Additionally, the following cartesian AP are sometimes used:

$$A_{xx} = \sqrt{3} T_{22} - \sqrt{\frac{1}{2}} T_{20}$$
 = purely real. (A.12)

$$A_{zz} = \sqrt{2} T_{20} = \text{purely real.}$$
(A.13)

$$A_{xz} = -\sqrt{3} T_{21}$$
 = purely real. (A.14)

Note that the above definitions imply

$$A_{zz} + A_{yy} + A_{zz} = 0. (A.15)$$

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